

## Pigeon hole principle:

Useful statement: suppose  $N$  and  $R$  are two sets and  $|N| > |R|$ . let  $f: N \rightarrow R$ .

then there is some  $a \in R$  with  $|f^{-1}(a)| \geq 2$ .

Stronger: there is  $a \in R$  with  $|f^{-1}(a)| \geq \lceil \frac{|N|}{|R|} \rceil$ . if not,  $|f^{-1}(a)| < \frac{|N|}{|R|}$  for all  $a \in R$  and so  $n = \sum_{a \in R} |f^{-1}(a)| < r \frac{n}{r} < n$ .

[ Question: take any  $n+1$  numbers from  $\{1, 2, 3, \dots, 2n\}$ . are there two coprime?  
A: yes, there must be two which are 1 apart, and these are coprime.

[ Question: do the same thing, are there two s.t. one divides the other?  
A: yes, let each  $k = 2^l m$ . there are at most  $n$  choices for  $m$ .

[ Q: Are these results true if you replace  $n+1$  by  $n$ ?  
A: no.  $\{2, 4, \dots, 2n\}$  and  $\{n+1, n+2, \dots, 2n\}$ .

Theorem 1: Let  $a_1, \dots, a_n \in \mathbb{Z}$ . for some  $k, l$  with  $0 \leq k < l \leq n$ ,  
we have  $\sum_{i=k+1}^l a_i \equiv 0 \pmod{n}$ .

Proof Let  $f(m)$  be the remainder of  $a_1 + \dots + a_m$  upon division by  $n$ .  
There are  $n$  possible values of  $f(m)$ , and if some  $f(m) = 0$  we are done.  
otherwise,  $f$  maps  $\{1, \dots, n\}$  to  $\{1, \dots, n-1\}$  so we must have  
 $f(m_1) = f(m_2)$  for some  $m_1 < m_2$  (Pigeonhole Principle). Thus

$$\sum_{i=m_1+1}^{m_2} a_i = \sum_{i=1}^{m_2} a_i - \sum_{i=1}^{m_1} a_i \equiv f(m_2) - f(m_1) = 0 \pmod{n}.$$

Theorem 2: Let  $(a_i)_{i=1}^{m+1}$  be a finite sequence of  $m+1$  distinct real numbers. Then there is an increasing subsequence

$$a_{i_1} < a_{i_2} < \dots < a_{i_{m+1}} \quad (i_1 < i_2 < \dots < i_{m+1})$$

of length  $m+1$ , or a decreasing subsequence

$$a_{j_1} > a_{j_2} > \dots > a_{j_{n+1}} \quad (j_1 < j_2 < \dots < j_{n+1})$$

of length  $n+1$ , or both.

Proof

Let  $t_i$  be the length of the longest increasing subsequence starting at  $a_i$ . If any  $t_i \geq m+1$ , we are done.

Otherwise,  $i \mapsto t_i$  maps  $\{1, \dots, m+1\}$  to  $\{1, \dots, m\}$ , so for some  $s \in \{1, \dots, m\}$  we have  $t_i = s$  for  $\lceil \frac{m+1}{m} \rceil = n+1$  numbers  $j \in \{1, \dots, m+1\}$ . (Strong Pigeonhole principle).

Let  $j_1 < \dots < j_{n+1}$  be these numbers. If  $a_{j_i} < a_{j_{i+1}}$  then we would have an increasing sequence of length  $s+1$  starting at  $a_{j_i}$ , which contradicts  $t_{j_i} = s$ . So

$$a_{j_1} > a_{j_2} > \dots > a_{j_{n+1}} \quad \text{and we are done.}$$

## Double-Counting:

Statement: Let  $R$  and  $C$  be finite sets,  $S \subseteq R \times C$ . Then

$$\sum_{P \in R} |\{Q \in C : (P, Q) \in S\}| = |S| = \sum_{Q \in C} |\{P \in R : (P, Q) \in S\}|.$$

Theorem 3: Let  $\tau(j)$  denote the number of divisors of  $j$ . Let  $\bar{\tau}(n)$  be the average value of  $\tau(j)$  for  $j \leq n$ :  $\bar{\tau}(n) = \frac{1}{n} \sum_{j=1}^n \tau(j)$ .  
Then  $\bar{\tau}(n) \sim \log n$  (in fact these two quantities differ by less than 1).

Proof: observe the chart below where we place a dot if  $r|c$ :

$r \setminus c$	1	2	3	4	5	6	7	8
1	.	.	.	.	.	.	.	.
2		.		.		.		.
3			.			.		
4				.				.
5					.			
6						.		
7							.	
8								.

The number of dots is  $\sum_{j=1}^n \tau(j)$  (counting column-first). But the number of dots in row  $i$  is  $\lfloor \frac{n}{i} \rfloor$ , so we have

$$\bar{\tau}(n) = \frac{1}{n} \sum_{i=1}^n \lfloor \frac{n}{i} \rfloor \leq \frac{1}{n} \sum_{i=1}^n \frac{n}{i} = \sum_{i=1}^n \frac{1}{i} \sim \log n.$$

but the error when going from  $\lfloor \frac{n}{i} \rfloor$  to  $\frac{n}{i}$  is less than 1.

So we also have  $\bar{\tau}(n) > \sum_{i=1}^n \frac{1}{i} - 1 \sim \log n$ .

(unrelated) question: Let  $G = (V, E)$  be a graph, and  $d(v)$  be the degree of  $v \in V$ .  
(important!) Show that  $\sum_{v \in V} d(v) = 2|E|$ .

Answer: each edge is incident on two vertices, so we'll see each edge twice in the sum.

Theorem 4: The number of trees on  $n$  vertices is  $T_n = n^{n-2}$ .

Proof: We will count the number of sequences of directed edges

that can be added to an empty graph on  $n$  vertices in order to form a rooted & directed tree.

\* in two ways of course!

Way 1: Start with any undirected tree, choose one of its  $n$  vertices to be the root, and then add the  $n-1$  edges as directed edges in any order.

this gives  $T_n \cdot n \cdot (n-1)! = T_n \cdot n!$ .

Way 2: Add directed edges one-by-one to an empty graph.

Count the number of choices at each step:

If we have already added  $n-k$  edges then we will have a forest of  $k$  rooted & directed trees.

the edge we add at this step can start at any of the  $n$  vertices and end at the root of any of the  $k-1$  trees which don't contain the starting vertex. So the number of ways is

$$\prod_{k=2}^n n(k-1) = n^{n-1} (n-1)! = n^{n-2} \cdot n!$$



Sperner's Lemma: Suppose some "big" triangle with vertices  $V_1, V_2, V_3$  is triangulated. Color the vertices in the triangulation by the colors  $c_1, c_2, c_3$  so that  $V_i$  gets color  $c_i$ , and only the colors  $c_i$  and  $c_j$  are used for the vertices between  $V_i$  and  $V_j$  (the vertices inside the big triangle can be colored arbitrarily). Then there is a small tricolored triangle in the triangulation.

Proof: Consider the subgraph of the dual graph of the triangulation obtained by taking all edges which cross over an edge of the triangulation which has colors  $c_1$  and  $c_2$  (one of each).

the vertices of this partial dual graph have degree

1 if they are in a tricolored triangle  
 0 or 2 if they are not in a tricolored triangle  
 (2 if the triangle has both  $c_1$  and  $c_2$ , 0 if not)

and the vertex outside the triangle has odd degree

since there must be an odd number of color changes between  $V_1$  (which has color  $c_1$ ) and  $V_2$  (which has color  $c_2$ ).

thus, for  $\sum$  degrees to be even, there must be an odd number of tricolored triangles.

(Note: The triangulation doesn't have to be as regular as it is on the handout)

Brouwer's Fixed Point Theorem ( $n=2$ ):  $f: B_2 \xrightarrow{cts} B_2$  has a fixed point.

Proof: • Let  $\Delta$  be the triangle in  $\mathbb{R}^3$  with vertices  $e_1 = (1, 0, 0)$ ,  $e_2 = (0, 1, 0)$ , and  $e_3 = (0, 0, 1)$ . It suffices to prove  $f: \Delta \xrightarrow{cts} \Delta$  has a fixed point since  $\Delta$  is homeomorphic to  $B_2$ .

- Let  $\delta(T)$  denote the maximal length of an edge in a triangulation  $T$  of  $\Delta$ . We can easily construct a sequence of triangulations  $T_1, T_2, \dots$  of  $\Delta$  so that  $\delta(T_k) \rightarrow 0$  as  $k \rightarrow \infty$ .
- For each triangulation, define a 3-coloring of the vertices  $v$  by coloring  $v$  with  $c_{\lambda(v)}$  where  $\lambda(v) = \min \{i : f(v)_i < v_i\}$ ;  $\lambda(v)$  is the smallest index  $i$  so that the  $i^{\text{th}}$  coordinate of  $f(v) - v$  is negative. If this smallest  $i$  does not exist, we have found  $v$  so that  $f(v) = v$ . To see this, note that every  $u \in \Delta$  satisfies  $u_1 + u_2 + u_3 = 1$ , so if  $f(v) \neq v$  then at least one coordinate of  $f(v) - v$  must be negative (and one must be positive).
- We check that this coloring satisfies the conditions of Sperner's Lemma:  $e_i$  must receive color  $c_i$  since the only possible negative component of  $f(e_i) - e_i$  is the  $i^{\text{th}}$  component. Also, if  $v$  is in the edge of  $\Delta$  opposite to  $e_i$ , then  $v_i = 0$ , so the  $i^{\text{th}}$  component of  $f(v) - v$  cannot be negative. ( $v$  doesn't get  $c_i$ ).
- Now Sperner's Lemma says in each triangulation  $T_k$  there is a tricolored triangle  $\{v^{k,1}, v^{k,2}, v^{k,3}\}$  with  $\lambda(v^{k,i}) = i$ .  $(v^{k,1})_{k=1}^{\infty}$  may not converge, but a subsequence does since  $\Delta$  is compact. Assume we started with this subsequence so  $(v^{k,1})_{k=1}^{\infty}$  does converge to a point  $v \in \Delta$ . Now  $(v^{k,2})$  and  $(v^{k,3})$  also converge to  $v$  since  $|v^{k,2} - v^{k,1}| \leq \delta(T_k) \geq |v^{k,3} - v^{k,1}|$  and  $\delta(T_k) \rightarrow 0$ .
- We know  $f(v^{k,1})_2 < v_2^{k,1}$  for all  $k$  (by definition of  $\lambda$ ), so, since  $f$  is continuous,  $f(v)_2 \leq v_2$ . But the same reasoning gives  $f(v)_2 \leq v_2$  and  $f(v)_3 \leq v_3$ . So no coordinate of  $f(v) - v$  is positive, which means we cannot have  $f(v) \neq v$ .